



# Grants, Cooperative Agreements and Retail Funding

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*South East Regional Seminar*

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# Discussion points for this presentation

- ☺ Funding Opportunities that support the Regulatory Authorities (RA) succeed in the Retail Food Program Standards
- ☺ Types of Funding
- ☺ Resources available for YOU!
- ☺ Questions

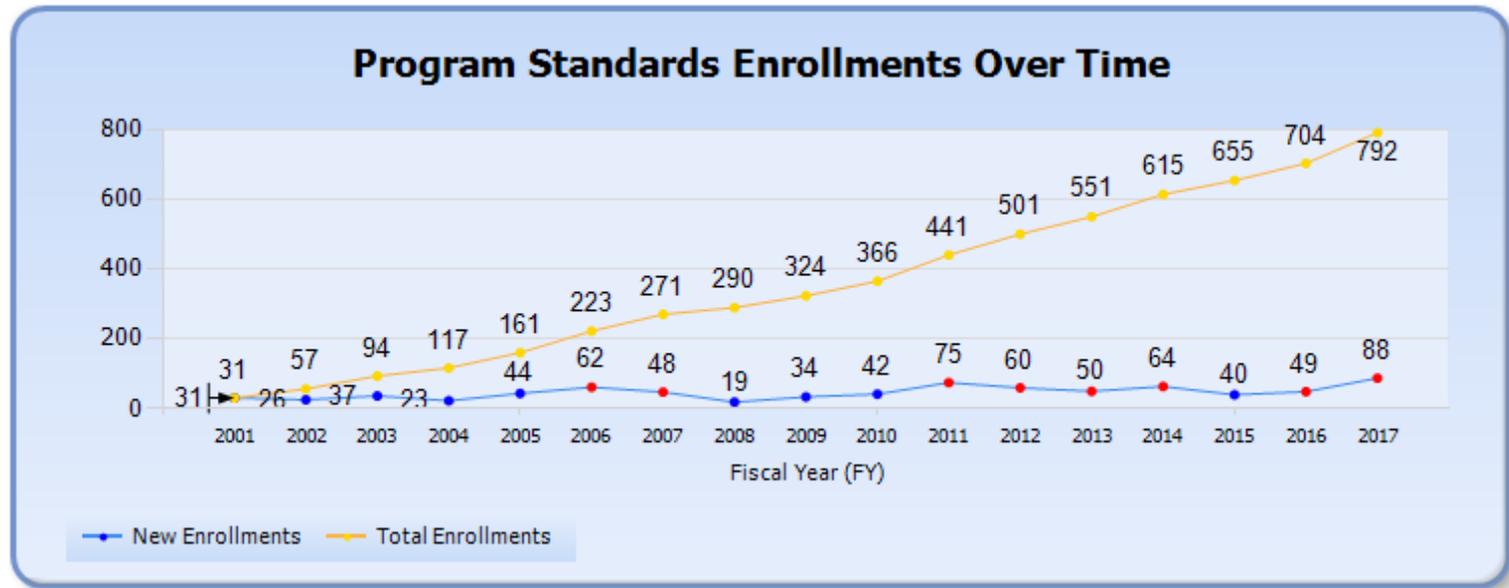
# Capital Investments Then & Now

Fiscal years	FY 2009	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
<b>Retail Cooperative Agreements (total)</b>	<b>\$250K</b>	<b>\$3.24M</b>	<b>\$3.20M</b>	<b>\$3.31M</b>	<b>\$7.28M</b>	<b>\$7.295M</b>	<b>\$6.285M</b>
<b>"Retail Program Standard" Cooperative Agreements (CA)</b>	None	\$2.6M	\$2.4M	\$2.4M	\$4.62M	\$4.56M	<b>\$4.19M</b>
<b>CA with AFDO</b>	None	None	\$600K	\$670K	\$2.42M	\$2.495M	<b>\$1.895M</b>
<b>CA with NACCHO</b>	None	\$145K	\$202K	\$240K	\$240K	\$240K	<b>\$200K</b>
<b>"Micro Purchase" Funds</b>	\$250K	\$500K	0	0	0	0	<b>0</b>
<b>Enrolled Jurisdictions</b>	<b>343</b>	<b>547</b>	<b>563</b>	<b>597</b>	<b>658</b>	<b>715</b>	<b>775</b>
As of:	9/30/09	9/30/12	9/30/13	4/1/14	7/1/15	10/7/16	7/1/17

# Grantees' Performance (as of 7/1/2017)

Tracking Indicator (TI)	Non-grantee	Grantee	AFDO's grantee	NACCHO's grantee	RS' grantee
<b>TI1: # of enrollees</b>	<b>357</b>	<b>418</b>	<b>384</b>	<b>89</b>	<b>63</b>
<b>TI2: # of enrollees which have a current* and completed self assessment (SA),</b>	<b>119</b> <b>(33.33%)</b>	298 <b>(71.29%)</b>	<b>273</b> <b>(71.09%)</b>	78 <b>(87.64%)</b>	54 <b>(85.71%)</b>
<b>TI3: # of enrollees which have completed SA and are meeting 3 or more Program Standards,</b>	<b>27</b> <b>(7.56%)</b>	126 <b>(30.14%)</b>	<b>114</b> <b>(29.69%)</b>	31 <b>(34.83%)</b>	37 <b>(58.73%)</b>
<b>TI4: Total met Program Standards,</b>	<b>374</b> <b>(1.05)</b>	846 <b>(2.02)</b>	<b>759</b> <b>(1.98)</b>	218 <b>(2.45)</b>	220 <b>(3.49)</b>
<b>TI5: # of enrollees met Program Standard No.1,</b>	<b>126</b> <b>(35.29%)</b>	171 <b>(40.91%)</b>	<b>158</b> <b>(41.15%)</b>	47 <b>(52.81%)</b>	30 <b>(47.62%)</b>
<b>TI6: # of enrollees met 9 Program Standards.</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>1</b>

# Program Standards Enrollments Over Time



**Average Enrollments per FY: 47**

# Kudo's to the home team



# Category 1,2 & 3 Awards



- ☺ Self-Assessment & Verification Audits Meeting multiple program standards
- ☺ Training & Education
- ☺ Attendance at national conferences and regional seminars



# NACCHO Mentorship Program (partial list)



- 😊 Gwinnett County HD, GA
- 😊 Chatham County HD, NC
- 😊 Northwest Public HD, GA
- 😊 Hoke County HD, NC
- 😊 Lee County HD, NC
- 😊 Jackson County PH, NC
- 😊 Davie County HD, NC
- 😊 Cass County HD, NC
- 😊 Cumberland County HD, NC
- 😊 Gaston County HD, NC
- 😊 Wake County, NC
- 😊 Stanly County, NC
- 😊 Pitt County HD, NC
- 😊 San Juan Basin HD (SJBHD)

# 2 Cooperative Agreement Funding Programs through OP



- ☺ Advancing Conformance with the Voluntary National Retail Food Regulatory Program Standards Cooperative Agreement
  - Abbreviated Retail Standards CAP
- ☺ Development, implementation, and advance conformance with the Voluntary National Retail Food Regulatory Program Standards management of a funding system to advance conformance with the Voluntary National Retail Food Regulatory Program Standards
  - Abbreviated Retail Association CAP



# Funding Programs

☺ Advancing Conformance with the Voluntary National Retail Food Programs Standards (VNRFPS)

[Cohort one](#) – completed its final year in June 2017

[Cohort Two](#) – in its third year of the cooperative agreement.

[Cohort Three](#) – award notices going out in August 2018

☺ Development, implementation, and management of a funding system to advance conformance with the Voluntary National Retail Food Program Standards (VNRFPS)



# Retail Standards CAP



## Multiyear

- ☺ Up to \$70,000/award
- ☺ Allows for long-term strategic planning
- ☺ Extensive FDA monitoring
- ☺ Both Cohorts 2 and 3 will end in 2020
- ☺ Development of a new retail funding model for FY20 is underway

Funding Opportunities	Project Period	Grantees	Current Status
Cohort 1	2012-2017	34	Closeout reports due Sept 2017
Cohort 2	2015-2020	34	Year 3 started July 2017
Cohort 3	2017-2020	28	Year 1 started Sept 2017



# Cooperative Agreement with AFDO

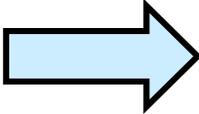


- ☺ Established in 2013
- ☺ Grants to state, local, tribal, and territorial regulatory programs
- ☺ Managed by AFDO
  - FDA/AFDO Joint Advisory Group (JAG) provides oversight
- ☺ Simple application and reporting process
- ☺ Apply online and get additional information at AFDO's Retail Program Standards Website at:  
<http://afdo.org/retailstandards>



# AFDO Grant

Category 1 –  
Small Projects



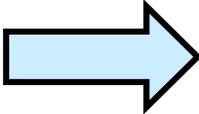
Up to \$3,000

Self-Assessments

\*Verification Audits

\*Custom Projects

\*Category 2 –  
Moderate  
Projects



\$10,000 –  
20,000

Examples:

- Risk factor studies
- Electronic databases
- Intervention strategies

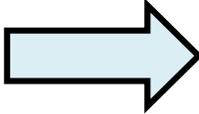
Category 3 –  
Training  
Scholarships



Up to \$3,000

- DHRD courses
- Regional seminars
- State or national conferences

Category 4-  
Travel & Training  
related to FPTF



Up to \$3000

- Fund travel to FPTF meetings
- Attend sponsored training

# Category 4 added in 2016

## Travel & Training related to FPTF



- 😊 Funding will enable states to:
- Increase attendance at State FPTF meetings and other sponsored events
  - Increase involvement of locals and tribes
  - Enhance collaboration between state task forces
  - Promote sharing of resources and best practices

# Mentorship Program Website

## NACCHO MENTORSHIP PROGRAM RESOURCES

[The Crosswalk on Accreditation  
and Retail Program Standards](#)

[Connecting FDA Retail Program  
Standards and Accreditation](#)

[Improving Retail Food Regulatory  
Programs across the Country](#)

[Mentorship Program for the FDA  
Retail Program Standards](#)

[LHDs and the FDA Retail Program  
Standards](#)

N / Programs / Environmental Health / Hazards and Health Effects /  
Food Safety and Defense / NACCHO Mentorship Program

in    

## NACCHO Mentorship Program

The Mentorship Program for the [Voluntary National Retail Food Regulatory Program Standards \(Retail Program Standards\)](#) is funded through a five-year cooperative agreement between NACCHO and the Food and Drug Administration (FDA). The Mentorship Program provides opportunities for local health department (LHD) staff to learn about the Retail Program Standards through active participation and training, share experiences and develop tools and resources related to the Retail Program Standards, and support the ongoing effort to increase the use of the Retail Program Standards nationwide. LHDs seeking assistance on the Retail Program Standards were matched to seasoned Retail Program Standards mentors from a LHD to help progress the work towards meeting Retail Program Standards while building sustained capacity within the LHD.

Mentors are encouraged to assist mentee LHDs in preparing for self-assessments and verification audits and in developing improvement plans. Through the program, NACCHO has gathered a wide variety of qualitative data about the Retail Program Standards from the perspective of the LHD. [Click here to learn more about NACCHO's Mentorship Program.](#)

<http://www.naccho.org/programs/environmental-health/hazards/food-safety/mentorship>

# The NACCHO Mentorship Program

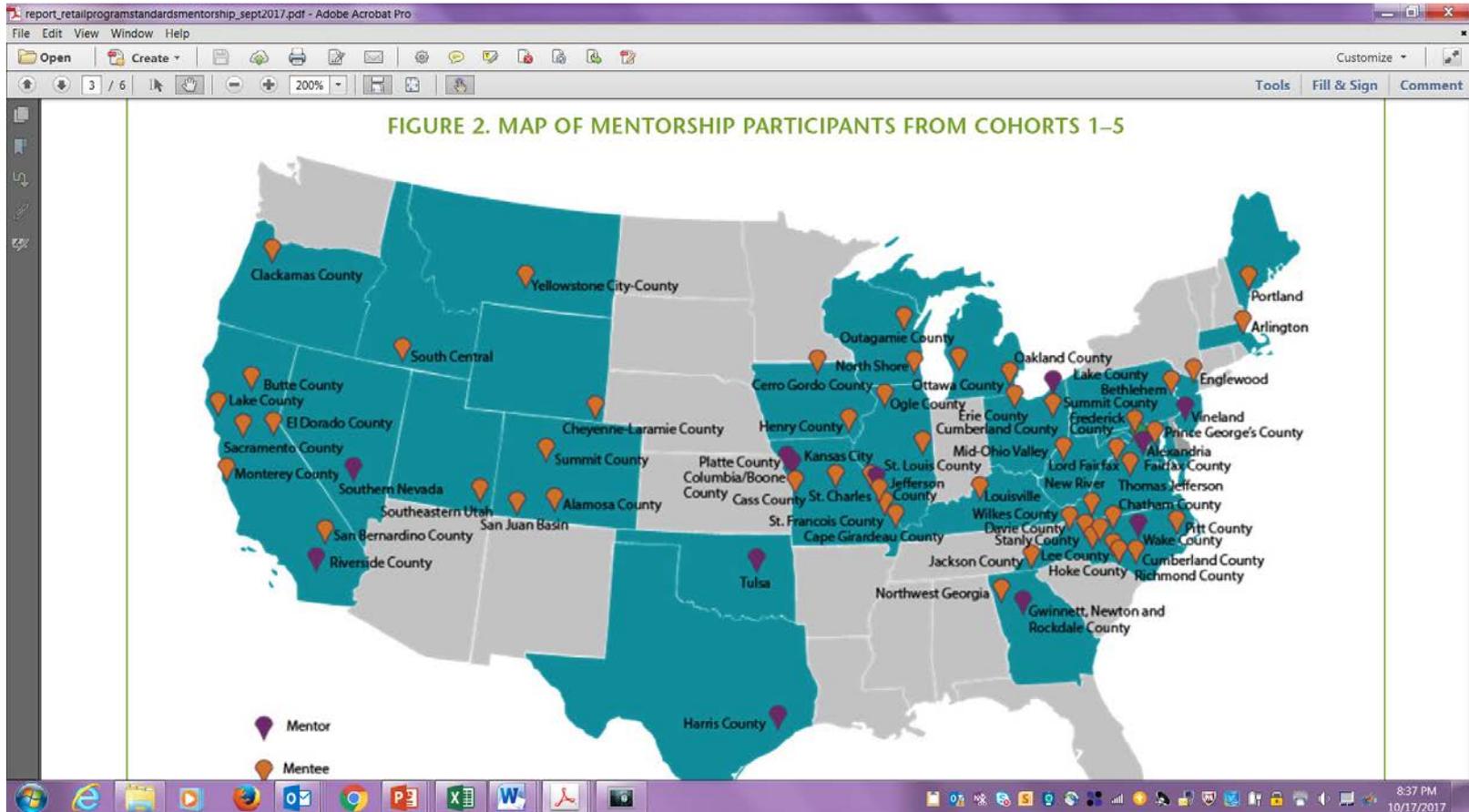
## *What you Need to Know*



- ☺ National Association of County & City Health Officials manages
- ☺ Approximately \$200,000 available in funding to State, local, tribal and territorial jurisdictions
- ☺ Cohort 6 had 31 participants; hope this year will bring more participants
- ☺ The application period is now closed
- ☺ Award notices will go out **November 3**
- ☺ All applicants must be enrolled in the Retail Program Standards
- ☺ Program kick-off call will occur mid Nov/early Dec

[Learn more and apply...](#)

# NACCHO Participation Map



# NACCHO



The screenshot shows a web browser window displaying the NACCHO website. The address bar shows the URL: <http://essentialelements.naccho.org/archives/7823>. The website header features the NACCHO logo, the tagline "HEALTHY PEOPLE, HEALTHY PLACES THE ESSENTIAL ELEMENTS OF LOCAL PUBLIC HEALTH", and a search bar. Below the header is a navigation menu with links for ABOUT, ARCHIVE, E-LEARNING, FEATURED ARTICLES, FORUM, and TOOLS & RESOURCES. The main content area displays a "Funding Announcement: 2017-2018 NACCHO Retail Program Standards Mentorship Program". To the left of the text is a photograph of fresh produce at a market. To the right is a "RECENT POSTS" section with three entries: "CDC Report: HIV Among People Who Inject Drugs", "In It Together Project: Promoting Health Literacy to Support Black MSM", and "Gear Up for U.S. Antibiotic Awareness Week 2017!". The Windows taskbar at the bottom shows various application icons and the system clock indicating 8:46 PM on 10/17/2017.

## Funding Announcement: 2017-2018 NACCHO Retail Program Standards Mentorship Program



NACCHO, in coordination with the U.S. Food and Drug Administration (FDA), is now accepting mentee and mentor applications (through Friday, October 13, 2017) from state, local, territorial, and tribal retail food regulatory programs for the 2017-2018 cohort of NACCHO's Retail Program Standards Mentorship Program. Program participants (mentors and mentees) will have opportunities to learn, share experiences, and acquire tools and resources related to the **FDA Voluntary National Retail Food Regulatory Program Standards** (Retail Program Standards).

The mentorship program, now in its seventh year, connects retail food regulatory program practitioners who are experienced in applying the Retail Program Standards with those who are newly enrolled and looking for assistance, guidance, tools, resources, and recommendations for achieving a

### RECENT POSTS

- CDC Report: HIV Among People Who Inject Drugs
- In It Together Project: Promoting Health Literacy to Support Black MSM
- Gear Up for U.S. Antibiotic Awareness Week 2017!



# Resources

# FDA FOOD CODE



- <https://www.fda.gov/Food/GuidanceRegulation/RetailFoodProtection/FoodCode/default.htm>

# Funding Opportunity Resource



https://www.fda.gov/forfederalstateandlocalofficials/office%20of%20partnerships%20contacts/default.htm

The screenshot shows the FDA website's 'Office of Partnerships Contacts' page. The header includes the FDA logo and navigation tabs for Home, Food, Drugs, Medical Devices, Radiation-Emitting Products, Vaccines, Blood & Biologics, Animal & Veterinary, Cosmetics, and Tobacco Products. The main content area is titled 'FDA Contacts for Regulatory Partners' and includes social media sharing options. Below this, the 'Office of Partnerships' section describes the role of the Office of Partnerships (OP) in coordinating with the Office of Regulatory Affairs (ORA), the Office of International Programs, and the Intergovernmental Affairs staff. It provides contact information for the OP, including a phone number (301-796-5390), an email address (OPFeedback@fda.hhs.gov), and a 'Write to:' address in Rockville, MD. A list of links for more information is provided at the bottom.

The screenshot shows the FDA website's 'Grants and Cooperative Agreements' page. The header includes the FDA logo and navigation tabs for Home, Food, Drugs, Medical Devices, Radiation-Emitting Products, Vaccines, Blood & Biologics, Animal & Veterinary, Cosmetics, and Tobacco Products. The main content area is titled 'Grants and Cooperative Agreements' and includes social media sharing options. Below this, a list of funding opportunities is provided, including the Alliance for Advancing an IFSS, Animal Feed Regulatory Program Standards (AFRPS) Cooperative Agreement (CAP), Animal Food Preventive Controls (PCAF) Rule Survey Cooperative Agreement, Egg Safety Regulatory Program Standards Development, FDA Scientific Conference Cooperative Agreement Program (ScC CAP), Flexible Funding Model - Infrastructure Development and Support for State Manufactured Food Regulatory Programs (U18), Food Emergency Response Network (FERN), Food Protection Rapid Response Teams Program, Food Protection Task Force Grant, Grade "A" Milk Safety and Molluscan Shellfish Cooperative Agreement Program, ISO/IEC 17025:2005 CAP, and Integrated Food Defense & Emergency Response.

<https://www.fda.gov/ForFederalStateandLocalOfficials/FundingOpportunities/GrantsCoopAgrmts/default.htm>



# Clearinghouse Resource

## VOLUNTARY NATIONAL RETAIL FOOD REGULATORY PROGRAM STANDARDS

### CLEARINGHOUSE WORK GROUP Questions and Answers on the Implementation of the Retail Program Standards<sup>1</sup>

<b>Contents:</b>	<b>Page</b>
INTRODUCTION .....	2
ADMINISTRATIVE PROCEDURES .....	3
STANDARD 1 .....	7
STANDARD 2 .....	28
STANDARD 3 .....	53
STANDARD 4 .....	59
STANDARD 5 .....	67
STANDARD 6 .....	74
STANDARD 7 .....	82
STANDARD 8 .....	88
STANDARD 9 .....	93
Clearinghouse Contact .....	105
Appendix A .....	106
Appendix B .....	111

<https://www.fda.gov/downloads/food/guidanceregulation/retailfoodprotection/programstandards/ucm372615.pdf>

# Food shield – Retail Program Standards Resource Center



http://foodsafetygrants.org/retailstandards/foodshield/

FoodSHIELD and The Retail...

File Edit View Favorites Tools Help

Home - Standards Imple... CGE Guidance - All Docu... OP Meetings - All Assets Hotel RL Washington DC Registrations - All Items (2) Appearance Request Trac...

FDA U.S. FOOD & DRUG ADMINISTRATION AFDO

AFDO.ORG PROJECT IDEAS FDA TRAINING CONTACT US

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## Retail Program Standards Grant Program

Home / FoodSHIELD and The Retail Food Program Standards Resource Center

### FoodSHIELD and The Retail Food Program Standards Resource Center

FoodSHIELD is a web-based system for communication, coordination, education, and training among the nation's food and agriculture sectors. This secure system allows public health and food regulatory officials at the local, state, and federal levels across the nation to work together. It also helps communicate food safety information among other government agencies.

Grantees are encouraged to share project successes on the Retail Food Program Standards Resource Center, available at [FoodSHIELD.org](http://FoodSHIELD.org).

#### Features

The Retail Food Program Standards Resource Center is designed for federal, state, local, tribal, and territorial agencies that are using the Voluntary National Retail Food Regulatory Program Standards for continuous improvement.

Your tools, templates, and documents may be of interest to other agencies as they work to develop their retail food regulatory programs, while reducing the amount of time needed to develop and implement customized policies, procedures, and documentation.

The Retail Food Program Standards Resource Center is intended to foster collaboration among retail food regulatory programs by enabling the sharing of tools, templates, and documents that have been developed by our state, local, tribal, and territorial partners.

#### GRANTS MANAGEMENT PORTAL LOGIN

Username

Password

Sign in

Reset or create password

#### GRANT INFORMATION

- > 2018 Grant Guidance
- > 2017 Grant Guidance
- > 2016 Grant Guidance

#### REIMBURSEMENT REQUEST

- > Grant Reimbursement Form

3 of 24 - Clipboard  
Item not Collected: Delete items to increase available space

11:01 PM  
10/16/2017

# Retail Food Resource Center



<https://www.foodshield.org>



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# Feedback



OP welcomes your feedback on this presentation and any services provided by our office. Any feedback provided to this address will be reviewed by the Director.

[OP.Feedback@fda.hhs.gov](mailto:OP.Feedback@fda.hhs.gov)



***Questions?***

